# Chih-Fan Rich Pai

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### SUMMARY

PhD in Control, Learning, and Optimization: Over 3 years of deep expertise in related research and problem-solving Technical Proficiency: Design and analysis of algorithms for optimization/control/reinforcement learning/game theory

#### EDUCATION

University of California, San Diego (UCSD) GPA: 4.0/4.0	La Jolla, CA			
Ph.D. in Electrical and Computer Engineering (Machine Learning and Data Science Track)	2021 - Sept. 2026 (expected)			
• Research interest: Optimization, control, online learning, and sequential decision-making				
• Course: Machine Learning, Statistical Learning, Planning & Learning in Robotics, Probabilistic Reasoning & Learning, Semidefinite & Sum-of-Squares Optimization, Continuous Optimization, Stochastic Approximation, Information Theory				
National Taiwan University (NTU) GPA: 3.99/4.3	Taipei, Taiwan			
M.S. in Communication Engineering (EECS Collage, Signal Processing for Communication Group)	Feb. 2018 - June 2020			
• Course: Machine Learning, Deep Learning for Computer Vision, Matrix Computations, Conv Analysis of Algorithms, Adaptive/Multirate Signal Processing, Digital Communication	ex Optimization, Design and			
National Chiao Tung University (NCTU) GPA: 4.14/4.3 (Rank: top 3%)	Hsinchu, Taiwan			
B.S. in Electrical and Computer Engineering (Graduated early for academic excellence)	Sept. 2014 - Jan. 2018			
Research Experience				
Online learning and (non)stochastic control	Sept. 2024 -			
Research Assistant, supervised by Prof. Yang Zheng				
• Explored instance-wise optimality of online nonstochastic control in both static and no	on-stationary environments			
• Analyzed dynamic regret for online LQ control (with predictions) and its relationship with model predictive control				
Nonconvex (non)smooth policy optimization for optimal and robust control	Apr. 2023 -			
Research Assistant, supervised by Prof. Yang Zheng				
• Investigated <b>optimization landscapes</b> and <b>model-free</b> policy optimization methods for partially observed optimal control				
• Developed a unified framework, <i>Extended Convex Lifting</i> , to analyze various nonconvex nonsmooth control problems				
• Certified global optimality, analyzed solvability, and proposed a policy iteration approach for mixed $\mathcal{H}_2/\mathcal{H}_\infty$ control				
• Analyzed classical optimal control results through a <b>primal-dual lens</b> using semidefinite programming formulations				
Reinforcement learning and general sequential decision-making	June 2022 - March 2023			
Research Assistant, supervised by Prof. Tara Javidi and Prof. Yian Ma				
• Designed algorithms for <b>reward-free exploration</b> in RL, focusing on active model estimation	for Markov decision processes			
Simul processing for communication				
Signal processing for communication	June 2018 - Oct. 2020			
Research Assistant, supervised by Prof. See-May Phoong	June 2018 - Oct. 2020			
Research Assistant, supervised by Prof. See-May Phoong PUBLICATIONS	June 2018 - Oct. 2020			

- C. Pai, Y. Tang, and Y. Zheng. Policy Optimization of Mixed  $\mathcal{H}_2/\mathcal{H}_\infty$  Control: Benign Nonconvexity and Global Optimality<sup>1</sup>
- Y. Watanabe, C. Pai, and Y. Zheng. Semidefinite Programming Duality in Infinite-Horizon Linear Quadratic Differential Games, arXiv Preprint 2025
- Y. Zheng, C. Pai and Y. Tang. Extended Convex Lifting for Policy Optimization of Optimal and Robust Control. To appear in L4DC 2025
- Y. Zheng, C. Pai, and Yujie Tang. Benign Nonconvex Landscapes in Optimal and Robust Control, Part I: Global Optimality and Part II: Extended Convex Lifting. arXiv Preprints, 2023/2024.
- C. Pai and S. Phoong, Low Complexity Estimation of Time-Varying Channels for OFDM Systems with Uniformly Spaced Pilots. 32nd European Signal Processing Conference, IEEE, 2024.
- C. Pai, T. Hung, and S. Phoong, Depth-L Nyquist (M) Filters and Biorthogonal Partners. IEEE Access, Apr. 2020.

 $<sup>^{1}</sup>$ Under preparation and will be out soon

## Honors

J. Yang Scholarship from UCSD			Sep.	2021
Best Master Thesis Award from National Taiwan University			Jan.	2021
• Youth Thesis 1st Award from Chinese Institute of Electrical Engineering			Jan.	2021
• NCTU Academic Excellence Award: 3 times (top 3%)	Sept.	2014 -	Jan.	2018
Teaching Experience				
<ul> <li>UCSD ECE: Semidefinite &amp; SOS Optimization, Linear Systems Fundamentals, Linear Control System</li> <li>Designed and led weekly discussion sessions using self-prepared instructional materials</li> <li>Received highly positive feedback in student evaluations, highlighting clarity and engagement</li> </ul>	Theory	Sept.	2023	3 -
<b>NTU</b> : Linear Algebra, Calculus, Digital Signal Processing, and Multirate Signal Processing	June 201	18 - Ju	ne 20	020
Selected Project				
<ul> <li>Algorithmic Game Theory and Multi-objective Optimization Reading Group</li> <li>Explored mechanism design, equilibrium computation, convergence behavior of learning dynamics, optimization, multi-agent and multi-objective reinforcement learning</li> </ul>	Feb. 202 multi-obj€	22 - Ja ective	n. 20	)23
<ul> <li>Theory and Practice of Machine Learning</li> <li>Explored why gradient descent almost always avoid saddle points in minimizing non-conversion surrogate risk minimization algorithms for SVM, AdaBoost, logistic regression.</li> </ul>	June 201 x function	19 - Ap s; also	or. 20 explo	)20 red
<ul> <li>Implemented regression for PM2.5 prediction, probabilistic generative model, CNN for humand RNN for malicious comments identification</li> <li>Panked 2 in Kagela among 120 NTU students by applying PEPT to dialogue modeling transf</li> </ul>	an sentime	ent clas	sifica	tion,
• Kanked 2 in Kaggie among 120 NTO students by apprying BERT to dialogue modeling transi	er learni	ng task		
<ul> <li>Visualization and Implementation of Deep Learning for Computer Vision</li> <li>Visualized what deep CNN learn with saliency map, deconvolutional network, and deep generat</li> </ul>	or networ	19 - Ap k	or. 20	)20
• Implemented image reconstruction, clustering and classification using <b>dimensionality reduction</b> , K-Means, t-SNE; implemented <b>semantic segmentation</b> with ResNet50, <b>GAN</b> for producing hun <b>transfer learning</b> , and <b>LSTM</b> , <b>Seq2seq</b> for video action recognition and segmentation	e.g., auto nan faces,	encode DANI	r, PC <b>N</b> for	ĊA,

## PROGRAMMING LANGUAGES

C, C++, Python, MATLAB, PyTorch, Tensorflow, Scikit-learn, NumPy, Pandas